

2/27/04

Sheet 1 of 7

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
STE01 P-1165

SERIAL NO.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANT
Christopher J. Norman et al.

FILING DATE

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	6 5 8 8 8 4 7	07/08/2003	Murakami			
	6 5 5 4 3 6 4	04/29/2003	Dammernann et al.			
	6 5 4 0 3 0 0	04/01/2003	Piretti			
	6 5 2 3 8 9 8	02/25/2003	Ball et al.			
	2 0 0 3 0 0 3 0 3 1 7	02/13/2003	Chen			
	2 0 0 3 0 0 2 5 3 7 8	02/06/2003	Lin			
	2 0 0 3 0 0 2 0 3 1 0	01/30/2003	Stumpf et al.			
	6 5 0 2 9 0 4	10/08/2002	Kopish et al.			
	6 4 6 0 9 3 2	10/08/2002	Kopish et al.			
	2 0 0 2 0 0 7 4 8 4 4	06/20/2002	Klingstedt et al.			
	2 0 0 2 0 0 7 0 5 9 8	06/13/2002	Perl			
	6 3 9 4 5 5 3	05/28/2002	McAllister et al.			
	2 0 0 2 0 0 4 3 8 6 2	04/18/2002	Piretti			
	2 0 0 2 0 0 3 6 4 2 2	03/28/2002	Prince et al.			
	6 3 4 3 8 4 0	02/05/2002	Chuang			
	6 3 4 1 8 2 1	01/29/2002	Rousseau			
	6 2 9 6 3 1 2	10/02/2001	Congleton et al.			
	6 2 1 3 5 5 6	04/10/2001	Chen			
NCH	6 2 0 9 9 6 1	04/03/2001	Chen			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
NCH	1 3 1 5 1 8 6	1993	Canada			
NCH	1 0 1 3 3 1 3 2	2002	Germany			
NCH	4 2 0 4 6 3 9	1993	Germany			
NCH	1 9 9 5 9 1 0 4	2001	Germany			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Noah Chandler Hawk/	DATE CONSIDERED	08/25/2006
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
		STE01 P-1165	
		APPLICANT Christopher J. Norman et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	6 2 0 9 8 4 0	04/03/2001	Chen			
	6 2 0 3 1 0 9	03/20/2001	Bergsten et al.			
	6 1 7 6 5 5 0	01/23/2001	Lamart et al.			
	6 1 4 2 5 7 0	11/07/2000	Bergsten et al.			
	6 1 3 9 1 0 7	10/31/2000	Lee			
	6 1 2 5 5 2 1	10/03/2000	Stumpf et al.			
	6 1 0 6 0 7 0	08/22/2000	Ritt et al.			
	6 0 7 6 8 9 2	06/20/2000	van Hekken et al.			
	6 0 7 6 8 9 1	06/20/2000	Bernhardt			
	6 0 7 4 0 1 2	06/13/2000	Wu			
	6 0 6 2 6 4 6	05/16/2000	Bock			
	6 0 5 9 3 6 6	05/09/2000	Hu			
	6 0 5 3 5 7 8	04/25/2000	van Hekken et al.			
	6 0 4 5 1 9 1	04/04/2000	Piretti			
	6 0 2 2 0 7 9	02/08/2000	Bergsten et al.			
	6 0 1 7 0 9 1	01/25/2000	Cao			
	5 9 7 5 6 4 0	11/02/1999	Chen			
NCH	5 9 7 1 4 8 4	10/26/1999	Lamart et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NCH	1 9 7 1 7 0 7 7	1998	Germany				
NCH	2 9 8 0 9 0 9 9	1999	Germany				x
NCH	2 9 9 0 1 6 6 6	2000	Germany				x
NCH	2 9 5 0 8 4 2 9	1996	Germany				x

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	5 9 4 4 3 8 6	08/31/1999	Yamagishi et al.			
	5 9 3 1 5 3 7	08/03/1999	Gollin et al.			
	5 9 3 1 5 3 6	08/03/1999	Wu			
	5 9 2 7 8 1 1	07/27/1999	Tseng			
	5 9 1 8 9 3 8	07/06/1999	Miotto			
	5 9 0 8 2 2 1	06/01/1999	Neil			
	5 8 9 5 0 9 5	04/20/1999	Chen			
	5 8 8 4 9 7 6	03/23/1999	Breen et al.			
	5 8 8 4 9 7 5	03/23/1999	Su			
	5 8 8 4 9 7 4	03/23/1999	Bergsten et al.			
	5 8 7 9 0 5 4	03/09/1999	Cao			
	5 8 7 6 0 9 7	03/02/1999	Cao			
	5 8 5 3 2 2 3	12/29/1998	Ritt et al.			
	5 8 4 8 8 2 3	12/15/1998	Su			
	5 8 4 5 9 6 5	12/08/1998	Heath et al.			
	5 8 3 9 7 8 6	11/24/1998	Cvek			
	5 7 8 2 5 3 6	07/21/1998	Heldmann et al.			
NCH	5 7 7 2 2 8 2	06/30/1998	Stumpf et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NCH	2 9 9 1 0 2 5 0	1999	Germany				x
NCH	8 8 1 4 0 5 3	1988	Germany				x
NCH	1 1 0 6 1 1 0	2001	EPO				
NCH	0 5 8 7 5 3 7	1994	EPO				

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		APPLICANT Christopher J. Norman et al.	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	5 7 6 9 4 9 7	06/23/1998	Tsal			
	5 7 6 5 9 2 0	06/16/1998	Lai			
	5 7 5 2 6 8 3	05/19/1998	Novis et al.			
	5 7 4 9 6 2 8	05/12/1998	Synder et al.			
	5 7 3 5 5 7 7	04/07/1998	Lin			
	5 6 7 6 4 8 3	10/14/1997	Koubek			
	5 6 6 7 2 7 7	09/16/1997	Van De Riet			
	5 6 6 4 8 4 2	09/09/1997	Tseng			
	5 6 5 5 8 1 4	08/12/1997	Gibbs			
	5 6 5 1 5 8 6	07/29/1997	Groth			
	5 6 4 9 7 4 1	07/22/1997	Beggs			
	5 6 4 7 6 3 8	07/15/1997	Ritt et al.			
	5 6 4 1 2 0 3	06/24/1997	Van De Riet et al.			
	5 6 2 0 2 3 3	04/15/1997	Corwin			
	5 5 9 9 0 6 7	02/04/1997	Schuelke et al.			
	5 5 9 7 2 0 8	01/28/1997	Bonutti			
	5 5 9 7 2 0 7	01/28/1997	Bergsten et al.			
NCH	5 5 9 0 9 3 4	01/07/1997	Gibbs			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
NCH	1 0 5 7 4 2 8	2000	EPO			
NCH	0 8 8 0 9 2 1	1998	EPO			
NCH	1 0 5 9 0 5 1	2000	EPO			
NCH	0 9 6 3 7 2 1	1999	EPO			

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH		5 5 8 8 7 6 6	12/31/1996	Lai			
		5 4 9 8 0 3 9	03/12/1996	Bivens			
		5 4 8 4 1 8 7	01/16/1996	Doerner et al.			
		5 4 6 2 3 3 8	10/31/1995	Baumann			
		5 4 3 9 2 6 8	08/08/1995	Dozsa-Farkas			
		5 4 3 9 2 6 7	08/08/1995	Peterson et al.			
		5 4 3 5 6 2 6	07/25/1995	Lai			
		5 4 1 5 4 5 9	05/16/1995	Schultz			
		5 4 0 7 2 4 9	04/18/1995	Bonutti			
		5 3 9 3 1 2 5	02/28/1995	Watson et al.			
		5 3 9 3 1 2 4	02/28/1995	Neil			
		5 3 8 8 8 9 2	02/14/1995	Tomero			
		5 3 8 2 0 7 9	01/17/1995	Wilson et al.			
		5 3 8 0 0 6 5	01/10/1995	Rohrer			
		5 3 6 8 3 6 5	11/29/1994	Feldberg			
		5 3 4 2 1 1 5	08/30/1994	De Filippo			
		5 3 1 8 3 4 7	06/07/1994	Tseng			
NCH		5 2 8 1 0 0 1	01/25/1994	Bergsten et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
NCH		0 1 6 6 8 7 0	1986	EPO				
NCH		2 3 6 3 0 6 4	2001	Great Britain				
NCH		6 2 1 2 7 0 7 1	1987	Japan				
NCH		9 6 3 9 9 0 1	1996	PCT				

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	5 2 6 5 9 3 8	11/30/1993	Melhuish et al.			
	5 1 4 3 4 2 2	09/01/1992	Althofer et al.			
	5 0 0 9 4 6 7	04/23/1991	McCoy			
	4 9 9 7 0 5 4	03/05/1991	Denny et al.			
	4 9 6 1 6 1 0	10/09/1990	Reeder et al.			
	4 9 5 1 9 9 5	08/28/1990	Teppo et al.			
	4 8 8 1 4 2 4	11/21/1989	Clark et al.			
	4 8 4 8 8 4 0	07/18/1989	Toya			
	4 8 0 7 9 3 5	02/28/1989	King			
	4 7 8 5 1 9 0	11/15/1988	Frankema			
	4 6 7 4 7 9 0	06/23/1987	Johnson			
	4 6 5 9 1 3 5	04/21/1987	Johnson			
	4 6 1 9 4 7 8	10/28/1986	Heimnick et al.			
	4 5 7 6 3 5 1	03/18/1986	Brink			
	4 4 9 6 1 9 0	01/29/1985	Barley			
	4 4 8 9 9 8 1	12/25/1984	Goletski			
	4 3 1 1 3 3 8	01/19/1982	Moorhouse			
NCH	4 2 7 7 1 0 2	07/07/1981	Aaras et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NCH	9 6 4 0 5 3 7	1996	PCT				
NCH	8 6 0 3 1 6 7	1986	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
STE01 P-1165SERIAL NO.
10/788,692INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANTS
Christopher J. Norman et al.FILING DATE
February 27, 2004GROUP
3636

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
NCH	2 0 0 3 0 1 7 8 8 2	09/25/2003	Schmitz et al.			
	6 5 5 7 9 3 9	05/06/2003	Bräuning			
	6 4 3 9 6 6 1	08/27/2002	Bräuning			
	6 3 8 6 6 3 6	05/14/2002	Caruso et al.			
	2 0 0 2 0 0 4 3 8 4 3	04/18/2002	Pennington et al.			
	6 3 6 7 8 7 6	04/09/2002	Caruso et al.			
	6 3 0 2 4 8 6	10/16/2001	Lamart et al.			
	6 2 9 0 3 0 0	09/18/2001	Sutton et al.			
	6 2 7 9 9 9 8	08/28/2001	Chu et al.			
NCH	6 2 5 0 7 1 5	06/26/2001	Caruso et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
NCH	1 2 8 7 7 6 5	2003	EPO			x	
	1 2 8 4 1 1 5	2003	EPO			x	
	1 1 6 1 9 0 3	2001	EPO			x	
	0 8 5 6 2 6 9	1998	EPO			x	
	2 0 2 0 7 3 7 5	2003	Germany				x
	1 0 1 4 2 3 7 0	2003	Germany			x	
	1 0 1 2 5 9 9 6	2002	Germany			x	
	2 0 1 1 2 9 1 5	2002	Germany				x
	1 0 1 2 7 7 8 7	2001	Germany			x	
	1 9 8 4 7 9 5 4	1999	Germany			x	
	2 9 5 1 0 5 8 8	1996	Germany				x
	2 0 0 3 0 7 9 4 7 9	2003	Japan			x	
	0 1 7 8 5 5 8	2001	PCT			x	
NCH	0 0 7 4 5 3 1	2000	PCT			x	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

NCH	"entrada Bürodrehstuhl", drabert, date unknown, at least as early as February 26, 2003.					
EXAMINER	/Noah Chandler Hawk/			DATE CONSIDERED	08/25/2006	

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